

Rev. 04/01

Docket No. CF/013

2161

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants : Glenn D. Kirwin et al.

Application No. : 09/745,651 Confirmation No. : 1536

Filed : December 22, 2000

For : SYSTEMS AND METHODS FOR PROVIDING A
TRADING INTERFACE

Group Art Unit : 2161

Examiner : Not yet assigned

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for Patents
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TRANSMITTAL LETTER FOR
INFORMATION DISCLOSURE STATEMENT

Sir:

Transmitted herewith is an Information Disclosure
Statement in the above-identified application. This
Statement is submitted:

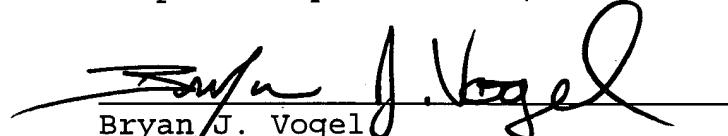
within three months of the application filing
date;

more than three months from the application
filing date but before the mailing date of
the first Office Action on the merits.

In accordance with 37 C.F.R. § 1.97, submission of
this Statement requires no fee. However, if for any reason
a fee is due, the Director is hereby authorized to charge
payment of any fees required in connection with this
Information Disclosure Statement to Deposit Account

No. 06-1075. A duplicate copy of this letter is transmitted herewith.

Respectfully submitted,



Bryan J. Vogel
Registration No. 44,389
Attorney for Applicants

FISH & NEAVE
Customer No. 1473
1251 Avenue of the Americas
New York, New York 10020-1104
Tel.: (212) 596-9000

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INFORMATION DISCLOSURE STATEMENT

Sir:

Pursuant to 37 C.F.R. §§ 1.56 and 1.97, applicants
hereby make the following references of record in the
above-identified patent application:

U.S. PATENTS

3,573,747	Adams et al.	4/6/71
3,976,840	Cleveland et al.	8/24/76
5,101,353	Lupien et al.	3/31/92
5,168,446	Wiseman	12/1/92
5,297,031	Gutterman et al.	3/22/94
5,297,032	Trojan et al.	3/22/94
5,463,547	Markowitz et al.	10/31/95
5,724,524	Hunt et al.	3/3/98
5,870,724	Lawlor et al.	2/9/99
6,064,981	Barni et al.	5/16/2000
6,134,535	Belzberg	10/17/2000

FOREIGN PATENT DOCUMENTS

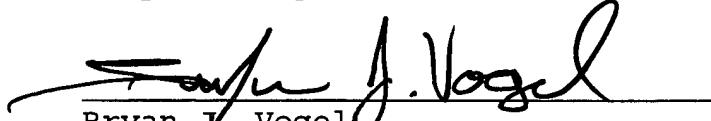
JP 11-25158 1/29/99 Japan
WO 98/49639 11/5/98 PCT

Copies of the aforementioned references, which are listed on the accompanying Form PTO-1449 (submitted in duplicate), are enclosed herewith.

It is respectfully requested that these references be (1) fully considered by the Patent and Trademark Office during examination of this application; and (2) printed on any patent which may issue on this application. Applicants request that a copy of Form PTO-1449, as considered and initialled by the Examiner, be returned with the next communications.

An early and favorable action is respectfully requested.

Respectfully submitted,



Bryan J. Vogel
Registration No. 44,389
Attorney for Applicants

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Tel.: (212) 596-9000

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